Deep Learning in High Energy Physics Cambridge-LMU Workshop 26.09.2023

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- 2 No statistically significant deviations in SM precision measurements

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Sounds good.

- 1 SM precision measurements
- Deep Learning
 - $\hfill\Box$ to analyse low-level data with fewer high-level physics assumptions

The rise of "model-independent" methods

- 1 SM precision measurements
- Deep Learning
 - $\hfill\Box$ to analyse low-level data with fewer high-level physics assumptions

Part of a open-ended strategy for discovery



efficiency



- efficiency
- 2 performance



- efficiency
- 2 performance
- 3 model independence



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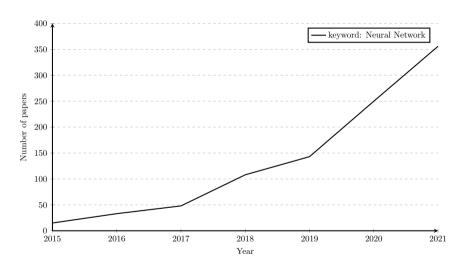
- efficiency
- 2 performance
- 3 model independence

Hierarchical data representation

 $\ \square$ well-suited to the absurdly high-dimensional data of HEP



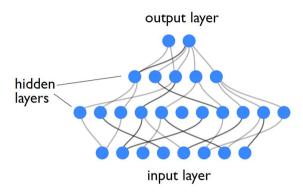
Rise of the Machine (Learning Approach)



1 Deep Learning in HEP

2 Epistemic Issues





$$y_{out} = F_w(y_{in}) \tag{1}$$

"In the relatively few years that modern machine learning has existed, it has already made traditional collider physics obsolete. In the past, physicists, including me, would devote their efforts to understanding signatures of particular particles or processes from first-principles: why should a stream of pions coming from a W boson decay look different than a stream coming from an energetic gluon? Now we simply simulate the events, and let a neural network learn to tell the two samples apart."

(Schwartz, 2021)

Curse of Dimensionality

The difficulty that deep learning can deal with is not the sheer amount of data, but the high-dimensionality of LHC data

Task in HEP

- \Box estimate probability function $p(x|\theta)$ of observing some data x, given the model's parameters θ
- \sqsupset estimating is possible for low-dimensional data (d < 5)
- $\ \square$ number of samples to estimate the function grows with the power of the dimensionality of data N^d

(Guest et al., 2018)

Must reduce the dimensionality of the data,

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Must reduce the dimensionality of the data, $d = \mathcal{O}10^{8*}$

Strategy

□ Construct higher-level, lower-dimensional objects and analyse those

Steps

- reconstruction algorithms are used to process raw data into objects (clusters and tracks)
- 2 use this to estimate the energy and momentum of particles
- identify particles
- 4 build event-level summaries
- 5 perform event selection for further analysis



Reducing Dimensionality

The reconstruction and selection are traditionally based on *physicist-identified* features of the data (having a given particle(of a certain energy), shape of the shower in the calorimeter, etc.)

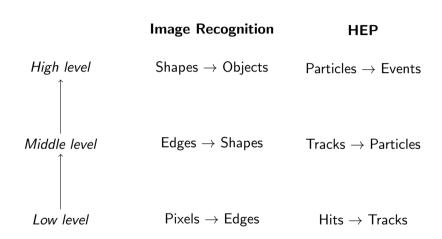


Reducing Dimensionality

The reconstruction and selection are traditionally based on *physicist-identified* features of the data (having a given particle(of a certain energy), shape of the shower in the calorimeter, etc.)

- □ works pretty well, but not *optimal*
- □ DL helps optimize this process

Hierarchical Data Representation



All Along Data Pipeline

- 1 Simulation
- 2 Improving triggering
- 3 Low-level: hit and track reconstruction
- 4 Mid-level: object identification
- 5 High-level: event classification

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The Need for Speed

At HL-LHC, there will be 7x luminosity (20x run-2 data set), not enough more computing resources

- □ ML has been used for decades (multivariate analysis, boosted decision trees)
- □ DL solutions are needed*



□ have DL run through low-level data and identify an object (tell two objects apart) without telling it how to do so*

Object Identification

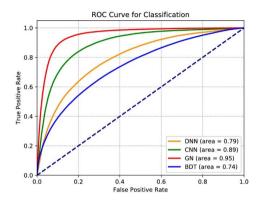


Figure: Three different deep learning classifiers, labelled *DNN*, *CNN*, and *(GN) GoogleNet* compared with the traditional BDT in the task of distinguishing γ vs. π^0 . These deep networks featured between three and fifteen million trainable parameters (Belayneh et al., 2020).

"Our analysis shows that recent advances in deep learning techniques may lift these limitations by automatically discovering powerful non-linear feature combinations and providing better discrimination power than current classifiers even when aided by manually-constructed features"

(Baldi, 2014, p. 9)

- □ don't tell the model that the top is heavy, that it decays to three other jets, that it has a slightly displaced vertex, etc.
- ☐ feed it tons of simulated data and it will have a higher success rate*

Deep Learning as a Model Independent Strategy

- $\hfill\Box$ run through the entire data with minimal assumptions
- □ make decisions based on many variables at the same time
- □ identify background without knowing the SM
- □ identify anomalous events

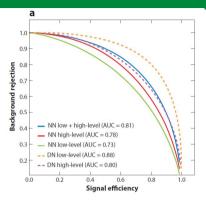


Figure: In their own words, "A comparison between the performance of deep networks (DNs) in signal–background classification and that of shallow networks (NNs) with a variety of low- and high-level features demonstrates that DNs with only low-level features outperform all other approaches" (Guest et al., 2018, p. 169)



Epistemic Difficulties in HEP



- No theory of DL
- 2 (Over)Reliance on simulated data
- 3 Mismodelling
- 4 Interpretability and understanding

- □ little to offer in terms of design and refinement of algorithms and new techniques
- □ matter of heuristics and trial and error
- □ no theoretical guarantee of reliability or optimality

(Bahri et al., 2020; Belkin, 2021)

2. Reliance on Simulation

Simulations and simulated data is used everywhere in HEP

(Morrison, 2015)

□ detector response cannot be analytically computed

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Quantum data

- ☐ The quantum nature of the real event means that simulated data is different
 - ☐ there are no ground truths
 - ☐ for a given event, you cannot say 'Higgs' or 'no Higgs' decisively
 - $\hfill\Box$ all one can do is collect statistics and try to reject the background only hypothesis

(Schwartz, 2021)

Trusted simulations work in low-dimensional spaces with high-level physics feature
 correlations in a high-dimensional space may not be faithful
Just because a model has a better false-positive rate doesn't mean it will perform better in particle identification
□ e.g. if it works better on simulated data than real
 performance will be up to systematic uncertainties, signal-to-background ratio etc.
□ things that are traditionally accounted for, but ignored in DL*

4. Interpretation and Understanding

"Generically, we should anticipate a trade-off between performance and interpretability"

(Guest et al., 2018, p. 175)

It is not a like an analytic function, where the structure of the solution can be analysed

□ reverse engineering the classification strategy is impossible due to the high-dimensional nature of the data and the number of parameters of the models

The decisions may be faster, more accurate, more computationally tractable, but as long as we don't understand the decisions, they will be of limited benefit

4. Interpretation and Understanding

Specificity

- □ Perhaps something learned in a network trained on top tagging could be useful in bottom tagging, but we won't know
- □ Sharing with other experiments may not be possible, since they run different hardware, often software*

Non-traditional

- □ not a cut-based analysis given in histograms and natural language description
- □ you get a multidimensional result that cannot even be graphically represented*

- \blacksquare No theory is kind of okay, as long as it works [1]
- 2 Sufficient data for reliability [2,3]
- 3 Post hoc interpretation—DNNs don't do everything [4]



1. Theory of Deep Learning

Justification is not explanation

- ☐ justification comes from success
- $\hfill\Box$ its decisions can be easily justified, but not easily explained

The proof is in the pudding

□ theoretical guarantees still rely on statistical arguments that are essentially about reliability of results

2. Simulated and Real Data

No need

- ☐ In principle, one can proceed in HEP entirely without simulations
 - ☐ if it can be simulated, it can be done with pen and paper
 - \square it would be slow

Use real data

- □ DL can also be run on real data (without ground truths), or mix of real and sim
- □ there is roughly the same amount of sim and real data at LHC (trillion events)
- □ simulations are rigorously validated*

Sufficient data

- ☐ in HEP there is *too much* data and computing resources
 - □ train and test until the cows come home*
 - □ statistical errors are small, systematics become the issue
 - lacksquare DL can help with this too! $(error_{sys}
 ightarrow
 u)$

Varied approaches

- □ data is public and there are open competitions
- □ new approaches and architectures are tried across the globe



4. After Discovery

Maybe its just not for that

- $\hfill\Box$ it is not already a model of new physics
- □ if an anomaly is discovered, the deep CNN (or whatever it is) will provide no understandable model in terms of intuitive physics

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But it will indicate where new physics is

- □ then traditional model-building will turn on
- □ similar to EFT approach
- □ similar to xAI

It is can be tool to identify correlations, but it will not likely help in interpreting the data



Conclusion: Role for DL in HEP

□ three important feature of DL: performance, efficiency, and model independence



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- $\hfill\square$ has many practical and epistemic difficulties to overcome



Conclusion: Role for DL in HEP

- □ three important feature of DL: performance, efficiency, and model independence
- $\hfill\square$ has many practical and epistemic difficulties to overcome
- \square but these are *issues* not *problems*



Thank you

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- CMS/ATLAS have ${\sim}100$ million channels sampled every ${\sim}25 \text{ns}$
 - □ 60Tb/s raw data
- 40 million events/s must be searched in real time
 - **I** L1 hardware trigger $\rightarrow 100 \text{k/s}$
 - □ calorimeter + muon spectrometer energy threshold (+topo)
 - ☐ decision in 200 nano secs
 - **2** L2 software (high-level) trigger $\rightarrow 1000/s$
 - \square jets, missing p_T
 - **3** ATLAS has L3 trigger \rightarrow 200 events

- DL offers the possibility of triggering on events that cannot currently be stored
 - □ acceptance 1 in 40,000 events
 - □ can write 15Pb/y for offline analysis
 - □ try to keep low-energy DM signatures, b and c quark physics, etc.
 - event selection based on cuts may miss a lot of events of interest

(ATLAS Collaboration, 2021; CMS, 2018)